



#4 0400
09-12-01

7217/64563

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Shigeru Sugaya
Serial No. : 09/863,525
Filed : May 23, 2001
For : METHOD OF CONTROLLING TRANSMISSION AND APPARATUS FOR
CONTROLLING TRANSMISSION
Date : September 17, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

☒ No fee is required.

☐ The fee has been calculated as shown below.

☐ Total claims in excess of 20 previously paid for, at \$18 (\$9) ☐

☐ Independent claims in excess of 3 previously paid for, at \$80 (\$40) ☐

☐ Additional Fee for this Amendment ☐


☐ This response is being filed within the ☐ first month, ☐ second month, ☐ third month,
☐ fourth month, ☐ fifth month following the expiration of the term originally set therefor.
Applicants Petition for an extension, and the fee of ☐ \$110 (\$55), ☐ \$390 (\$190), ☐ \$890
(\$435), ☐ \$1,390 (\$680), ☐ \$1,890 (\$925) is due and paid herewith.

☐ The fee of \$ ☐ set by 37 C.F.R. § 1.17(p) for the Information Disclosure Statement is due and paid
herewith.

☐ A check in the amount of \$ ☐ is attached.

☒ Please charge any additional fees or credit any overpayment to Deposit Account No. 03-3125.

COOPER & DUNHAM, LLP


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#3

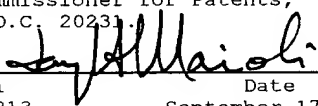
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I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Jay H. Maioli
Reg. No. 27,213


Date
September 17, 2001

September 17, 2001
1185 Avenue of the Americas
New York, NY 10036
(212) 278-0400

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to the initial examination of the above-identified application, Applicant respectfully requests that the application be amended as follows.